

Substitute for form 1449/PTO FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/074,804
				Filing Date	February 12, 2002
				First Named Inventor	Denis Garcia
				Art Unit	7096
				Examiner Name	Longbit Chai
Sheet	1	of	12	Attorney Docket Number	2222.5390001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If Known)			
LC ↑ <					

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
LC	FP1	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
LC	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.		
LC	FP3	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		
	FP4					
	FP5					
	FP6					
	FP7					
	FP8					
	FP9					

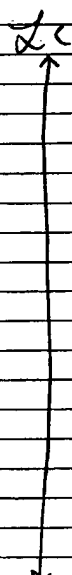
Examiner Signature		Date Considered	11/16/07
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		Number-Kind Code ² (If Known)			
	US21	5,778,065	07-07-1998	Hauser et al.	
	US22	5,787,173	07-28-1998	Seheidt et al.	
	US23	5,790,789	08-04-1998	Suarez	
	US24	5,835,592	11-10-1998	Chang et al.	
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	US34	5,991,879	11-23-1999	Still	
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	US36	6,032,216	02-29-2000	Schmuck et al.	
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	AS	US40	6,061,790	05-09-2000	Bodnar

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		Country Code ² Number ³ Kind Code ⁵ (if known)				
	FP10					
	FP11					
	FP12					
	FP13					
	FP14					
	FP15					
	FP16					
	FP17					
	FP18					

Examiner Signature	<i>Longtin</i>	Date Considered	11/16/07
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LC	US61	6,453,353 B1	09-17-2002	Win et al.	
	US62	6,466,932 B1	10-15-2002	Dennis et al.	
	US63	6,477,544 B1	11-05-2002	Bolosky et al.	
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	US66	6,530,024 B1	03-04-2003	Proctor	
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	FP28					
	FP29					
	FP30					
	FP31					
	FP32					
	FP33					
	FP34					
	FP35					
	FP36					

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LC	US81	6,735,701 B1	05-11-2004	Jacobson	
	US82	6,738,908 B1	05-18-2004	Bonn et al.	
	US83	6,782,403 B1	08-24-2004	Kino et al.	
	US84	6,801,999 B1	10-05-2004	Venkatesan et al.	
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	FP37					
	FP38					
	FP39					
	FP40					
	FP41					
	FP42					
	FP43					
	FP44					
	FP45					

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ZC	US101	6,941,355 B1	09-06-2005	Donaghey et al.	
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	FP47					
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	FP51					
	FP52					
	FP53					
	FP54					

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ZC	US121	7,051,213 B1	05-23-2006	Kobayashi et al.	
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	US123	7,073,063 B2	07-04-2006	Peinado	
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	FP56				
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ZC ↑	US141	7,171,557 B2	01-30-2007	Kallahalla et al.	
	US142	7,174,563 B1	02-06-2007	Brownlie et al.	
	US143	7,178,033 B2	02-13-2007	Garcia	
	US144	7,187,033 B2	03-06-2007	Pendharkar	
	US145	7,185,364 B2	02-27-2007	Knouse et al.	
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ZC	US159	2002/0010679 A1	01-24-2002	Felsher	
	US160	2002/0031230 A1	03-14-2002	Sweet et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ² Number ³ Kind Code ⁴ (if known)				
	FP64					
	FP65					
	FP66					
	FP67					
	FP68					
	FP69					
	FP70					
	FP71					
	FP72					

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Substitute for form 1449/PTO			Complete if Known		
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/074,804	
			Filing Date	February 12, 2002	
			First Named Inventor	Denis Garcia	
			Art Unit	7096	
			Examiner Name	Longbit Chai	
Sheet	10	of	12	Attorney Docket Number	2222.5390001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
ZC ↑	US181	2003/0078959 A1	04-24-2003	Yeung et al.	
	US182	2003/0079175 A1	04-24-2003	Limantsev	
	US183	2003/0088517 A1	05-08-2003	Medoff	
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	US185	2003/0110169 A1	06-12-2003	Zuili	
	US186	2003/0110397 A1	06-12-2003	Supramaniam	
	US187	2003/0120601 A1	06-26-2003	Ouye	
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	US190	2003/0177070 A1	09-18-2003	Viswanath et al.	
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DL	US200	2005/0138383 A1	06-23-2005	Vainstein	

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	FP82					
	FP83					
	FP84					
	FP85					
	FP86					
	FP87					
	FP88					
	FP89					
	FP90					

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		Number-Kind Code ² (if known)			
LC	US201	2004/0193602 A1	09-30-2004	Liu et al.	
	US202	2004/0193912 A1	09-30-2004	Li et al.	
	US203	2004/0215962 A1	10-28-2004	Douceur et al.	
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	US218	2005/0223414 A1	10-06-2005	Kenrich et al.	
	US219	2005/0273600 A1	12-08-2005	Seeman	
	US220	2005/0288961 A1	12-29-2005	Tabrizi	

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				Examiner Name	Longbit Chai
Sheet	1	of	5	Attorney Docket Number	2222.5390001

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LC	NPL1	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
↑	NPL2	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL3	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL4	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
	NPL5	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL6	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL7	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment," U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL8	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL9	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
LC	NPL10	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	

Examiner Signature	<i>Longbit Chai</i>	Date Considered	11/16/07
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NON PATENT LITERATURE DOCUMENTS			
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LC	NPL11	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
↑	NPL12	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.	
	NPL13	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.	
	NPL14	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL15	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL16	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL17	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL18	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL19	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
LC	NPL20	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	

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				Examiner Name	Longbit Chai
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Lc	NPL21	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
↑	NPL22	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL23	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL24	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL25	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL26	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL27	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL28	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL29	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	
Lc	NPL30	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	

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LC	NPL31	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
↑	NPL32	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL33	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL34	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL35	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL36	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL37	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL38	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL39	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	
LC	NPL40	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	

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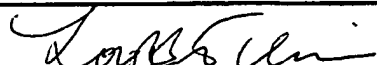
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